



COPY OF PAPERS
ORIGINALLY FILED

#5 Receipt
1600

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Burnside, et al.
Serial No. : 10/027,349
Filed : December 28, 2001
For : Sustained Release Pharmaceutical Dosage Forms with Minimized pH
Dependent Dissolution Profiles

Commissioner for Patents
Customer Service Center
Washington, D.C. 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Dear Sir:

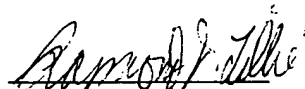
Applicants request that the filing receipt (a copy of which is attached) be corrected. Please correct the Filing Date to read: **December 20, 2001**. Please see the supporting paperwork attached. We have enclosed the Express Mail receipt with a date of December 20, 2001. We also enclosed a copy of the postcard with an Express Mail deposit date of December 20, 2001, but the Serial Number Sticker is incorrectly stating December 28, 2001 as the file date.

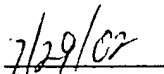
Please issue a corrected filing receipt as requested above. Applicant also encloses a corrected cover sheet.

Also, enclosed is a self-addressed postage paid postcard.

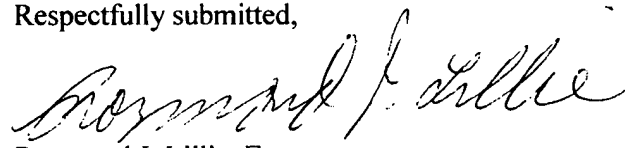
FIRST CLASS CERTIFICATE

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service as First Class Mail in an envelope addressed to:
Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231


Raymond J. Lillie, Esq.


Date

Respectfully submitted,


Raymond J. Lillie, Esq.
Reg. No.31,778

CARELLA, BYRNE BAIN, GILFILLAN,
CECCHI, STEWART & OLSTEIN
Six Becker Farm Road
Roseland, NJ 07068

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,349	12/28/2001	1614	727	550750-106 200	2	41	7

12-20-01

CONFIRMATION NO. 9614

FILING RECEIPT



OC000000007394819

 CARELLA, BYRNE, BAIN, GILFILLAN
 CECCHI, STEWART & OLSTEIN
 6 Becker Farm Road
 Roseland, NJ 07068


Date Mailed: 01/30/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Beth A. Burnside, Brookeville, MD;
 Rong-Kun Chang, Rockville, MD;
 Xiaodi Guo, Derwood, MD;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Sustained release pharmaceutical dosage forms with minimized pH dependent dissolution profiles

Preliminary Class

DATE RECEIVED:	2/5/02
DATES ENTERED:	
1)	
2)	
3)	
4)	
5)	
6)	
DOCKETED BY:	BM
DATE:	2/5/02

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPIES OF PAPERS
ORIGINALLY FILED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

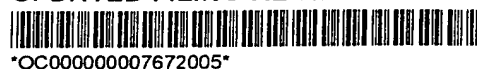
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,349	12/28/2001	1614	792	550750-200	2	41	7

12-20-2001

CARELLA, BYRNE, BAIN, GILFILLAN,
CECCHI, STEWART & OLSTEIN
6 Becker Farm Road
Roseland, NJ 07068



CONFIRMATION NO. 9614
UPDATED FILING RECEIPT



OC000000007672005

Date Mailed: 03/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Beth A. Burnside, Bethesda, MD;
Rong-Kun Chang, Rockville, MD;
Xiaodi Guo, New City, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: 07/03/2003

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Sustained release pharmaceutical dosage forms with minimized pH dependent dissolution profiles

Preliminary Class

DATE RECEIVED:	3/27/02
DATES ENTERED:	
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	
13.	
14.	
15.	
16.	
17.	
18.	
19.	
20.	
21.	
22.	
23.	
24.	
25.	
26.	
27.	
28.	
29.	
30.	
31.	
32.	
33.	
34.	
35.	
36.	
37.	
38.	
39.	
40.	
41.	
42.	
43.	
44.	
45.	
46.	
47.	
48.	
49.	
50.	
51.	
52.	
53.	
54.	
55.	
56.	
57.	
58.	
59.	
60.	
61.	
62.	
63.	
64.	
65.	
66.	
67.	
68.	
69.	
70.	
71.	
72.	
73.	
74.	
75.	
76.	
77.	
78.	
79.	
80.	
81.	
82.	
83.	
84.	
85.	
86.	
87.	
88.	
89.	
90.	
91.	
92.	
93.	
94.	
95.	
96.	
97.	
98.	
99.	
100.	

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

POST OFFICE TO ADDRESSEE



ORIGIN (POSTAL USE ONLY)
PO ZIP Code

Day of Delivery		Flat Rate Envelope	
<input type="checkbox"/> Next	<input type="checkbox"/> Second	<input type="checkbox"/>	
Date In		Postage	
Mo. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	\$	
Time In	Military	Return Receipt Fee	
<input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day		
Weight	Int'l Alpha Country Code	COD Fee	Insurance Fee
lbs. ozs.			
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		Acceptance Clerk Initials	
CUSTOMER USE ONLY		Total Postage & Fees	
METHOD OF PAYMENT		\$	
Express Mail Corporate Acct. No.			
Federal Agency Acct. No. or Postal Service Acct. No.			

FROM: (PLEASE PRINT)

PHONE 973-994-1700

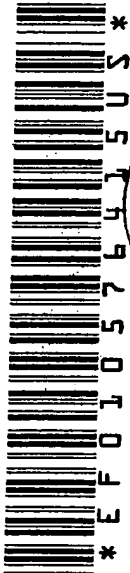
CARROLLA, GYRANE, BAIN, GILFILLAN,
LECCHI, STEWART & OLSTEIN
6 BECKER FARM ROAD
ROSELAND, N.J. 07068-1739

(RJL) 550 750-200

TO: (PLEASE PRINT)

PHONE

BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231



Customer Copy
Label 11-B May 2000



SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND
INSURANCE COVERAGE LIMITS



COPY OF PAPERS
ORIGINALLY FILED

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

#5



COPY OF PAPERS
ORIGINALLY FILED

Customer No. 27162

Please date stamp and return to addressee on reverse side

Applicant(s): Burnside, et al.

Serial No.: _____ Filed December 20, 2001

Title (or mark): "Sustained Release Pharmaceutical Dosage Forms With Minimized pH Dependent Dissolution Profiles"

J1002 U.S. PTO

10/027349



12/28/01

DOCUMENTS ENCLOSED

☒ Application sheets: Descr.: 21 pages

Drawing sheets: 2 pages

Claims 6 pages

☐ Formal

Abstract 1 page

☒ Informal

☒ Declaration (executed un- Burnside, et al.
Burnside; Chang; Huo)

☐ Assignment to _____

☐ Verified Statement Claiming Small Entity Status

☐ Type of OA: _____

☐ Response to OA dated _____

☐ Inform. Disc. Statement

☐ _____ Mo. Ext. of Time

☒ Patent Application Transmittal Letter

☐ Other _____

☒ Check Amount \$ 727.00 No. 6331

☐ Formal Drawing _____ sheets

Express Mail Label No.: EF 010576415 US

First class mail

Certificate Dated: _____

Deposited on: December 20, 2001

Docket No.: 550 750-200

Atty.: Raymond J. Lillie

DATE RECEIVED:	<u>1/8/02</u>
DATES ENTERED:	
1)	<u>U.S. Declaration</u>
2)	<u>Assignment - 12/20/01</u>
3)	
4)	<u>3Katus Check - 1/20/02</u>
5)	
6)	
7)	
8)	
9)	
10)	
11)	
12)	
13)	
14)	
15)	
16)	
17)	
18)	
19)	
20)	
21)	
22)	
23)	
24)	
25)	
26)	
27)	
28)	
29)	
30)	
31)	
32)	
33)	
34)	
35)	
36)	
37)	
38)	
39)	
40)	
41)	
42)	
43)	
44)	
45)	
46)	
47)	
48)	
49)	
50)	
51)	
52)	
53)	
54)	
55)	
56)	
57)	
58)	
59)	
60)	
61)	
62)	
63)	
64)	
65)	
66)	
67)	
68)	
69)	
70)	
71)	
72)	
73)	
74)	
75)	
76)	
77)	
78)	
79)	
80)	
81)	
82)	
83)	
84)	
85)	
86)	
87)	
88)	
89)	
90)	
91)	
92)	
93)	
94)	
95)	
96)	
97)	
98)	
99)	
100)	